

03/30/01

31054 U.S. PTO

04-02-01

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UTILITY PATENT APPLICATION TRANSMITTAL (Only for new non-provisional applications under 37CFR§1.53(b))		Attorney Docket No.	KLR 7146.102	
		First Inventor or Application Identifier		Pan et al.
		Title	METHOD FOR AUTOMATIC EXTRACTION OF SEMANTICALLY SIGNIFICANT EVENTS FROM VIDEO	
		Express Mail Label No.		EL744771523US

JC986 U.S. PTO
09/823437
03/30/01

APPLICATION ELEMENTS See MPEP chapter 600 concerning utility patent application contents.		ADDRESS TO: Assistant Commissioner for Patents Box Patent Application Washington, D.C. 20231	
1. <input checked="" type="checkbox"/> *Fee Transmittal Form (e.g. PTO/SB/17) (Submit an original and a duplicate for fee processing)		5. <input type="checkbox"/> Microfiche Computer Program (Appendix)	
2. <input checked="" type="checkbox"/> Specification (preferred arrangement set forth below) <ul style="list-style-type: none">- Descriptive Title of the Invention- Cross References to Related Applications- Statement Regarding Federally Sponsored Research- Reference to Microfiche Appendix- Background of the Invention- Brief Summary of the Invention- Brief Description of the Drawings (if filed)- Detailed Description- Claim(s)- Abstract of the Disclosure		6. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary) <ul style="list-style-type: none">a. <input type="checkbox"/> Computer readable copyb. <input type="checkbox"/> Paper copy (identical to computer copy)c. <input type="checkbox"/> Statement verifying identity of above copies	
3. <input checked="" type="checkbox"/> Drawing(s) (35 USC 113) [Total Pages 8]		ACCOMPANYING APPLICATION PARTS	
4. Oath or Declaration (Unsigned) [Total Pages 2] <ul style="list-style-type: none">a. <input type="checkbox"/> Newly executed (original or copy)b. <input type="checkbox"/> Copy from a prior application (37 CFR §1.63(d)) (for continuation/divisional with Box 16 completed)<ul style="list-style-type: none">i. <input type="checkbox"/> Deletion of Inventor(s) Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR §§1.53(d)(2) and 1.33(b)		7. <input type="checkbox"/> Assignment Papers (cover sheet & document(s))	
		8. <input type="checkbox"/> 37 CFR §3.73(b) Statement <input checked="" type="checkbox"/> Power of Attorney when there is an assignee	
		9. <input type="checkbox"/> English translation document (if applicable)	
		10. <input type="checkbox"/> Information Disclosure Statement (IDS) /PTO 1449 <input type="checkbox"/> Copies of IDS Citations	
		11. <input type="checkbox"/> Preliminary Amendment	
		12. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) (should be specifically itemized)	
		13. <input type="checkbox"/> *Small Entity Statements (PTO/sb/09-12) <input type="checkbox"/> Statement filed in prior application. Status still proper and desired.	
		14. <input type="checkbox"/> Certified Copy of Priority Document(s) (if foreign priority is claimed)	
		15. <input type="checkbox"/> Other:	
<p>* Note for Items 1 & 13: In order to be entitled to pay small entity fees, a small entity statement is required (37 CFR §1.27), except if one filed in a prior application is relied upon (37 CFR §1.28)</p>			
16. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment <input type="checkbox"/> Continuation <input type="checkbox"/> Divisional <input type="checkbox"/> Continuation-in-part (CIP) of prior application No.: _____ Prior application information: Examiner _____ Group No./Art Unit _____ For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 4b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.			
17. CORRESPONDENCE ADDRESS			
<input type="checkbox"/> Customer Number or Bar Code Label		(Insert customer number or attach bar code label here) or <input checked="" type="checkbox"/> Correspondence address below	
Name	Kevin L. Russell		
Address	601 SW Second Ave., Suite 1600		
City	Portland	State	OR
Country	USA	Zip Code	97204-3157
	Telephone	(503)227-5631	FAX (503)228-4373
Name (print type)	Kevin L. Russell	Registration No.	38,292
Signature			Date March 30, 2001

Patent fees are subject to annual revision


Let's Know

Application Number	
Filing Date	
First Named Inventor	Pan et al
Examiner Name	
Group/ Art Unit	
Attorney Docket No.	KLR:djs 7146.102

METHOD OF PAYMENT (check one)						FEE CALCULATION (continued)					
1. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge the indicated fees and credit any over payments to:						3. ADDITIONAL FEES					
Deposit Account Number		03-1550				Large Entity		Small Entity			
Deposit Account Name		Chemoff Vilhauer McClung & Stenzel				Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid
<input checked="" type="checkbox"/> Charge any additional fee required under 37 CFR 1.16 & 1.17						105	130	205	65	Surcharge - late filing fee or oath	
2. <input checked="" type="checkbox"/> Payment Enclosed						127	50	227	25	Surcharge-late provisional filing fee or cover sheet	
<input checked="" type="checkbox"/> Check <input type="checkbox"/> Credit Card <input type="checkbox"/> Money Order <input type="checkbox"/> Other						139	130	139	130	Non-English specification	
						147	2,520	147	2,520	For filing a request for reexamination	
						112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
						113	1840*	113	1840*	Requesting publication of SIR after Examiner action	
1. BASIC FILING FEE						115	110	215	55	Extension for reply within first month	
Large Entity		Small Entity				116	390	216	195	Extension for reply within second month	
Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid	117	890	217	445	Extension for reply within third month	
101	710	201	355	Utility filing fee	710	118	1,390	218	695	Extension for reply within fourth month	
106	320	206	160	Design filing fee		128	1,890	228	945	Extension for reply within fifth month	
107	490	207	245	Plant filing fee		119	310	219	155	Notice of Appeal	
108	710	208	355	Reissue filing fee		120	310	220	155	Filing a brief in support of an appeal	
114	150	214	75	Provisional filing fee		121	270	221	135	Request for oral hearing	
SUBTOTAL (1)					\$710	138	1,510	138	1,510	Petition to institute a public use proceeding	
2. EXTRA CLAIM FEES						140	110	240	55	Petition to revive - unavoidable	
		Extra Claims		Fee from below	Fee Paid	141	1,240	241	620	Petition to revive - unintentional	
Total Claims	1	-20**	=	0	x 18 = 0	142	1,240	242	620	Utility issue fee (or reissue)	
Indep. Claims	1	-3**	=	0	x 80 = 0	143	440	243	220	Design issue fee	
Multiple Dependent			=		= 0	144	600	244	300	Plant issue fee	
*or number of previously paid, if greater. For reissues, see below.						122	130	122	130	Petitions to the Commissioner	
Large Entity		Small Entity				123	50	123	50	Petitions related to provisional applications	
Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description		126	240	126	240	Submission of Information Disclosure Statement	
103	18	203	9	Claims in excess of 20		581	40	581	40	Recording each patent assignment per property (times number of properties)	
102	80	202	40	Independent claims in excess of 3		146	710	246	355	Filing a submission after final rejection (37 C.F.R. 1.129(a))	
104	270	204	135	Multiple dependent claim, if not paid		149	710	249	355	For each additional invention to be examined (37 C.F.R. 1.129(b))	
109	80	209	40	**Reissue independent claims over original patent		169	900	169	900	Request for Expedited Examination of a Design Application	
110	18	210	9	*Reissue claims in excess of 20 and over original patent		Other (specify)					
SUBTOTAL (2)					\$0	* Reduced by Basic Filing Fee Paid					
						SUBTOTAL (3)					\$0

SUBMITTED BY

Complete (if applicable)

Name (print type)	Kevin L. Russell	Registration No.	38,292	Telephone	(503) 227-5631
Signature				Date	March 30, 2001

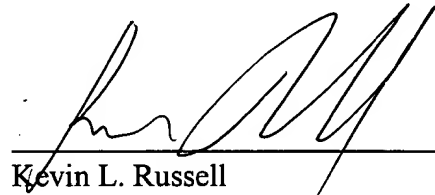
**CERTIFICATE OF MAILING
BY EXPRESS MAIL**



Express Mail No.: EL744771523US

Date of Deposit: March 30, 2001

I hereby certify that the patent application attached hereto entitled **METHOD FOR AUTOMATIC EXTRACTION OF SEMANTICALLY SIGNIFICANT EVENTS FROM VIDEO**, Pan et al., inventors, is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service on the date indicated above and is addressed to, The Honorable Commissioner for Patents, Box Patent Application, Washington, D.C. 20231.


Kevin L. Russell